Change Investment Strategy

How to use this form:
This form helps you change your investment strategy for your Smart KiwiSaver Scheme account. Please take time to read the Product Disclosure Statement (PDS) if you want to know more about the choices available to you.

If you need help with changing your investment strategy then please get in touch with one of our friendly customer service members at 0800 80 87 80. Send the completed form to Smart at kiwisaver@smartinvest.co.nz or post to us at P.O. Box 105262, Auckland 1143.

You can also make changes by logging into the member portal at www.smartinvest.co.nz.

SECTION 1: Your Details

KiwiSaver Member Number

Member Name(s)

Date of Birth (dd/mm/yyyy)

Phone

Home Address

Suburb

Town/City

Postcode

Country

Email

SECTION 2: Applying Your Chosen Investment Strategy

We will apply your chosen investment strategy to both your existing and future savings. If you would like your investment strategy to be applied differently then please tick one of the options below.

- ☐ Existing savings only
- ☐ Future savings only

SECTION 3: Investment Strategy Selection

You can easily set your new investment strategy to follow a standard strategy. To do so, please select the standard strategy option you want to be applied to your accounts then complete section 5 of this form.

If you would like to specify your own investment strategy, please tick the "My Mix" option and then complete section 4.

If you do not specify a new investment strategy for accounts that you have, they will remain unchanged.

Standard Strategies (tick one)

Lifecycle Investment Option

- ☐ Smart Steps

Ethical Fund

- ☐ Ethica Fund

Diversified Funds

- ☐ Smart Income Fund
- ☐ Smart Conservative Fund
- ☐ Smart Balanced Fund
- ☐ Smart Growth Fund
- ☐ Smart High Growth Fund

Cash

- ☐ NZ Cash Fund

Your own "My Mix" strategy

- ☐ If you want to set your own investment strategy on your accounts then please select this option and then complete the following section to specify your "My Mix" selection.

SECTION 4: "My Mix" Details

Your "My Mix" Strategy

Diversified Funds

Smart Income Fund	%
Smart Conservative Fund	%
Smart Balanced Fund	%
Smart Growth Fund	%
Smart High Growth Fund	%

Default Fund

Smart Default Fund	%

Ethical Fund

Ethica Fund	%

Guest Manager Fund

Castle Point 5 Oceans Fund	%

New Zealand Shares

S&P/NZX 50 Fund	%
NZ Shares Fund	%
NZ Top 50 Fund	%
NZ Top 10 Fund	%
NZ Mid Cap Fund	%
NZ Dividend Fund	%
NZ Propety Fund	%

Australian Shares

S&P/ASX 200 Fund	%
Australian Shares Fund	%
Australian Top 20 Fund	%
Australian Mid Cap Fund	%
Australian Dividend Fund	%
Australian Financials Fund	%
Australian Resources Fund	%
Australian Property Fund	%

US Shares

US 500 Fund	%
US Large Growth Fund	%
US Large Value Fund	%
US Mid Cap Fund	%
US Small Cap Fund	%

International Shares

Overseas Shares Fund	%
Overseas Shares (Currency Hedged) Fund	%
Total World Fund	%
Total World (NZD Hedged) Fund	%
Asia Pacific Fund	%
Emerging Markets	%
Europe Fund	%
Global Property Fund	%

*Funds continued on the next page.

Bonds and Cash

NZ Bonds Fund	%
S&P/NZX NZ Government Bond Fund	%
Overseas Bonds Fund	%
Overseas Non-goverment Bonds Fund	%
Global Aggregate Bond Fund	%
NZ Cash Fund	%
UK Cash Fund	%

Total

Must add to 100%	%

We will periodically apply standard rebalancing to maintain the proportions set out in your chosen fund(s). Tick the option below if you do not want rebalancing.

☐ No rebalancing

SECTION 5: Your Authorisation

This form collects personal information that we use to verify your identity and address. The information collected is used to provide you with our service. All the information collected is held by the Smart KiwiSaver Scheme's licensed manager, Smartshares Ltd (Smart), PO Box 105262, Auckland City 1143. We will take reasonable steps to ensure that your information is protected from unauthorised access, use, modification, or disclosure (or other misuse).

Please read and tick the following boxes before signing below.

☐ I understand that Smart will implement the above strategy changes as soon as practical.

Please note that once submitted, and you decide you want to change your investment strategy again, you will not be able to cancel a submitted request. In this circumstance, you will need to submit a new change investment strategy form.

Your Signature

Date (dd/mm/yyyy)